# Complementation and Common Ground: Discursive effects in Biblical Hebrew

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#### 1 Introduction: Biblical Hebrew $k ar\iota$

 $K\bar{\iota}$  is a complementizer with many different uses: introducing object and subject clauses (1a) as well as causal (1b), temporal, conditional, adversative (1c), concessive, and resultative (1d) adverbials.

- (1) a.  $way-y-\bar{a}\underline{b}\bar{o}$ '-Ø 'ɛl h $\bar{a}g\bar{a}r$  wat-t-ahar-Ø wat-t-ērɛ'-Ø k $\bar{i}$  h $\bar{a}r\bar{a}$ - $t\bar{a}$  and.PRET-3M-come-sG to Hagar and.PRET-F-conceive-3SG and.PRET-F-see-3SG K $\bar{i}$  conceive\PFV-3F.SG 'And he came into Hagar and she conceived, and she saw that she had conceived.' (Gen. 16:4)
  - b. way-y-ɛ'tar-Ø yiṣḥā̄q l=yhwh lənōkaḥ 'išt-ō kī 'ăqā̄r-ā̄ hī' and.PRET-3M-pray-sg Isaac to=Yahweh on\_behalf\_of wife-his kī barren-F.sg she 'And Isaac prayed to Yahweh on behalf of his wife, since she was barren.' (Gen. 25:21)
  - c.  $way-y-\bar{o}re\check{s}-\bar{O}$  ' $e\underline{t}$   $h\bar{d}=h\bar{d}r$   $k\bar{\iota}$   $l\bar{o}$ '  $l\partial=h\bar{o}r\bar{\iota}\check{s}$  ' $e\underline{t}$   $y\bar{o}\check{s}\partial\underline{b}-\bar{e}$   $h\bar{d}=\check{e}meq$  and PRET-3M-conquer-SG OBJ the=hill  $K\bar{\iota}$  not to=conquer\INF OBJ inhabit\PTCP-M.PL.of the=plain 'And they conquered the hills, but could not conquer those living in the plains' (Jdg. 1:19a)
  - d. mah ḥaṭṭāʾ-ṭī kī ḍāʿlaq-tā̄ ʾaḥăr-ā̈y
    what sin\PFV-1SG KĪ chase\PFV-2M.SG behind-me
    'How have I sinned *that* you have chased after me?' (Gen. 31:36)

It is generally accepted that these are not homonymic but all derive from one Proto-Semitic lexeme, deictic \*ka. However, there is disagreement over the relation between the different uses. Previous approaches to explain all uses as synchronically "deictic" have failed (Schoors 1981; Muilenburg 1961). Diachronic accounts cannot be supported by the corpus, and effectively assume massive homonymy synchronically (e.g. Locatell 2017).

In this presentation we argue that:

- 1. Complementizers are often sensitive to information status
- 2. Biblical Hebrew  $k\bar{\iota}$  is marked for use of **Common Ground**
- 3. The various syntactic/semantic functions are pragmatically inferred
- 4. The reference to Common Ground derives from a persistent [+distal] feature

(For more persistent spatial features, see the preview of Camil's thesis: tinyurl.com/PersistenceOfSpace)

# 2 Complementation and Common Ground

Causal *since* introduces not-at-issue content (2) and *for* can be used for parentheticals (3), while *because* is relatively unmarked.

(2) Liz has left, since her coat is not on the rack. (Charnavel 2017: 45)

 $\Rightarrow$  Liz has left [at-issue]

 $\Rightarrow$  Liz's coat is not on the rack [not-at-issue]

 $\Rightarrow$  the absence of Liz's coat on the rack indicates that she left [not-at-issue]

(3) An automatic timer would soon turn [the light] off, for we [Ladover Jews] do not tamper with electricity on Shabbos.

(Chaim Potok, 1990, The gift of Asher Lev)

In Staps & Rooryck (2023) we argued that English *that* is sensitive to Common Ground:

(4) a. Bio industry is still allowed.

b. *That bio industry is still allowed!* (Staps & Rooryck 2023: 1204)

(5) a. I always believed (that) the jury was bribed. (Staps & Rooryck 2023: 1209)

b. \*(That) the jury was bribed, I always believed. (Staps & Rooryck 2023: 1209)

(6) a. I thought you might need some help. (Bolinger 1972: 58)

b. I thought that you might need some help. (Bolinger 1972: 58)

We argued that this can be related to a persistent [+distal] feature, as in figure 1.

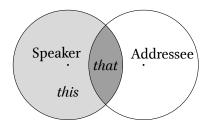


Figure 1 The information content tracked by the Speaker and Addressee. The intersection, the Common Ground, is seen as "far" from the Speaker (Staps & Rooryck 2023: 7).

Like demonstrative *that*, Semitic \**ka* is [+distal]. This is most easily seen in West Semitic demonstrative paradigms, e.g. Aramaic  $\underline{dana}$  'this' vs.  $\underline{denak}$  'that' (Lipiński 2001: §36.37–44). This suggests the hypothesis that Hebrew  $k\bar{t}$ , like complementizer *that*, marks Common Ground:

(7) English [+distal] demonstrative  $that \to \text{complementizer } that$  marking Common Ground Semitic [+distal] particle \* $ka \to \text{Hebrew complementizer } k\bar{\iota}$  marking Common Ground

## 3 Accommodation and imposition

Presenting information as part of the Common Ground can have one of three **discursive effects** (Staps & Rooryck 2023). Most straightforwardly,  $k\bar{t}$  can indeed introduce **discourse-old** information content:

(1a) way-y-ā\bar{b}\bar{o}\cdot\cappa\cdot\c

In other cases the information is new, but unsurprising. It can be accommodated by the Addressee:

(8) wa-y-əhī-Ø kī zā̄qēn-Ø yiṣḥā̄q ... way-y-iqrā̄'-Ø 'εṯ 'ēśā̄w bən-ō and.Pret-3m-be-sg κī be\_old\Pfv-3m.sg Isaac ... and.Pret-3m-call-sg Obj Esau son-his 'And when Isaac was old ..., he called Esau, his son.' (Gen. 27:1)

When new information content cannot be accommodated, the Speaker can still **present it as** part of the Common Ground, thereby **imposing** it on the Common Ground:

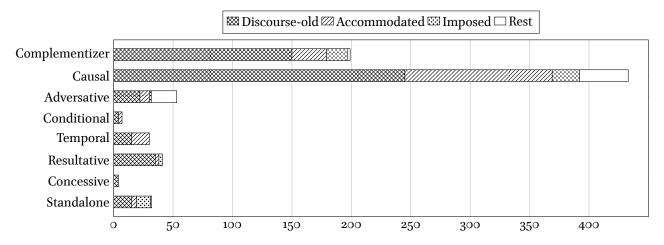
(9) t-ēda'-Ø kī 'itt-ī t-ēṣē'-Ø b=am=maḥănε
2-know\ipfv-m.sg κī with-me 2-go\_out\ipfv-m.sg in=the=camp
'(And Achish said to David:) "You should know ... that you will go out with me in battle." (1 Sam. 28:1)

These three types can all be seen as reference to the [+distal] area in figure 1:

- · Discourse-old: refers to element in [+distal] area
- · Discourse-new:
  - Typically: request to move from [-distal] to [+distal] (not presented as [+distal])
  - Accommodated: presented as [+distal] to mark assumption
  - Imposed: presented as [+distal] to mark imposition

### 4 Data

808 instances of  $k\bar{t}$  in **narrative texts**, excluding one fossilized construction (16 times) and 5 ambiguous cases. All instances classified for **function** and **use of Common Ground**:



**Figure 2** Types of reference to the Common Ground for each function of  $k\bar{\iota}$ .

Two arguments for a Common Ground analysis of  $k\bar{\iota}$ :

- 1. Exceptions fall almost entirely in two categories: causal and adversative. If these are (partly) lexicalized, the rest can be derived from context.
- 2. A comparison with other function words with similar functions shows that these occur less with reference to Common Ground.

## 5 Analysis

**Complementizer**  $k\bar{\iota}$  occurs mostly with factive predicates ('see', 'know', 'inform' [rather than 'say'], 'witness', ...), which often refer to discourse-old information content. We can also compare with other complementation strategies, which are not marked for reference to Common Ground:

- (1a) way-y-ā\bar{e}\bar{o}\cdot\cappa\cdot\c
- (10) way-y-iśśā'-Ø 'aḇrāhām 'ɛṯ 'ēn-āyw way-y-ar'-Ø wə=hinnē-Ø 'ayil 'aḥar and.PRET-3M-lift-sg Abraham obj eye-du.his and.PRET-3M-see-sg and=see.IMP-M.Sg ram behind nɛ'ĕḥaz-Ø b=as=səḇaጵ bə=qarn-āyw hold\mid.PFV-3M.Sg in=the=bush in=horn-PL.its 'As Abraham looked up, he saw—and look!—a ram behind [him] had been caught with its horns in a bush.' (Gen. 22:13)
- (11)  $way-y-ar^2-\emptyset$  'iššā  $r\bar{o}$ hɛṣ-ɛt  $m\bar{e}$ ='al  $hag=g\bar{a}\bar{g}$  and Pret-3M-see-sg woman bathe\PTCP-F.Sg from=on the=roof '... and he saw a woman bathing (NP+PTCP) from upon the roof.' (2 Sam. 11:2)

Causal  $k\bar{\iota}$  has many cases of accommodation ([12]; cf. English [2–3]). When the causal clause also includes new information, it is marked by particles like 'and look!' (13):

- (12)  $l\bar{o}$ ,  $n-\bar{u}\underline{k}al$  ...  $k\bar{\iota}$   $h\underline{\epsilon}rp\bar{d}$   $h\bar{\iota}$ ,  $l\bar{d}-n\bar{u}$  not 1PL-be\_able\ipfv ...  $\kappa\bar{\iota}$  disgrace it for-us

  'We cannot (do this, giving our sister to a man who is uncircumcised), for it is a disgrace to us.' (Gen. 34:14)
- (13)  $q\bar{u}m$ - $\bar{a}$   $w\partial = n-a'\bar{a}l\bar{e}$  ' $\bar{a}l\bar{e}-h\bar{e}m$   $k\bar{\iota}$   $r\bar{a}'\bar{\iota}-n\bar{u}$  ' $\bar{e}t$   $h\bar{a}='\bar{a}r\bar{e}s$   $w\partial = hinn\bar{e}-\mathcal{O}$  stand\_up\imp-m.sg and=ipl-go\_up\ipfv to-them  $k\bar{\iota}$  see\pfv-ipl obj the=land(f) and=see.imp-m.sg  $t\bar{o}b$ - $\bar{a}$   $m\partial \bar{o}d$  good-f.sg very '(And the Danites returned to their brothers ... and said:) "Come on, let's go up against them, for we saw their land, and look: it's very good!" (Jdg. 18:9)

But there are relatively many exceptions, so the causal function must be lexicalized (a generalization of the causal function *with* reference to Common Ground, based on the high frequency of the causal function):

(1b) way-y-ε'tar-Ø yiṣḥāq l=yhwh lənōkaḥ 'išt-ō kī 'ăqār-ā hī' and.PRET-3M-pray-SG Isaac to=Yahweh on\_behalf\_of wife-his κī barren-F.SG she 'And Isaac prayed to Yahweh on behalf of his wife, since she was barren(, and God heard his prayer and Rebekah his wife conceived.)'
(Gen. 25:21)

The same is true for adversative  $k\bar{\iota}$ , which probably developed from the causal function:

- (14) not X, because Y > not X, but Y
- (1c) way-y-ōreš-Ø 'ɛtַ hā̄=hā̄r kī lō' lə=hōrīš 'ɛtַ yōšəḇ-ē hā̄='ēmɛq and.pret-3m-conquer-sg obj the=hill kī not to=conquer\inf obj inhabit\ptcp-m.pl.of the=plain 'And they conquered the hill country, but they could not conquer the people living in the plains' (Jdg. 1:19)

**Conditional/temporal**  $k\bar{\iota}$  is more 'when' than 'if', and thus introduces a proposition that can easily be accommodated:

- (15) wa-y-əṣaw-Ø 'ɛt  $h\bar{a}=ri'š\bar{o}n$   $l\bar{e}='m\bar{o}r$   $k\bar{\iota}$   $y-i\bar{p}>gas-Ø-k\bar{a}$  'ēśāw and.PRET-3M-command-sG OBJ the=first to=say\INF  $\kappa\bar{\iota}$  3M-meet\IPFV-SG-you.OBJ Esau 'He (Jacob) commanded the first [servant], saying, "#If/When Esau meets you" (Gen. 32:18)
- (16)'ådåm kī y-agrīb-Ø mikk-εm qårbān l=yhwh min hab=bəhēmā min KĪ 3M-present\IPFV-SG from-you offering to=Yahweh from the=animals from the=herd man qårban-kem im 'ōlå  $\bar{u}=min$ haṣ=ṣō'n t-aqrīb-ū εt gårbån-ō or=from the=flock 2-present\ipproventype Obj offering-yours if burnt offering offering-his from hab=bågår zåkår tåmīm y-agrīb-Ø-ennū male perfect 3M-present\IPFV-SG-it.OBJ 'If /When  $(k\bar{t})$  a man amongst you brings a sacrifice to Yahweh, you must bring your offer from the animals of the herd or the flock. *If* /\* *When* ('im') it is a burnt offering from the herd, he shall offer a male without blemish.' (Lev. 1:2-3)

**Resultative**  $k\bar{\iota}$  refers to a result that is already under discussion, and thus refers to discourse-old information content (17), in contrast to other resultative markers (18):

- (17)  $m\bar{\iota}$  'å $n\bar{o}k\bar{\iota}$  ...  $k\bar{\iota}$  '-εhyε ḥātān l=am=mεlεkwho I ...  $K\bar{I}$  1SG-be\IPFV son\_in\_law to=the=king

  '(Saul said to David: "Here is my oldest daughter Merab; I want to give her to you in marriage ..." But David said to Saul:) "Who am I ... that I should be the king's son-in-law?"

  (1 Sam. 18:18)
- (18) way-y-ō'mɛr-Ø 'ălē-hɛm rə'ūḇēn ... ləma'an haṣṣūl 'ōṯ-ō miy=yā́d-ā́m
  and.PRET-3M-say-SG to-them Reuben ... in\_order\_to rescue\INF OBJ-him from=hand-theirs
  'But Reuben said to them, ("Don't shed blood; throw him into this pit ... but do not stretch out your hand against them,") in order to rescue him out of their hand'

  (Gen. 37:22)

Concessive  $k\bar{\iota}$ -clauses fit the hypothesis (20), but concessive clauses typically refer to discourse-old information content (19) so this doesn't say much:

- (19) *Though* France did not win the World Championship, they did bring home a silver medal.
- (20)  $k\bar{\iota}$  'attå 'åśi- $t\bar{\ell}$  b=as=såter wa='an $\bar{\iota}$  '- $\epsilon$ 'éś $\epsilon$  'et had=dåbår haz=z $\epsilon$  ne $\bar{g}$ ed kål yiśrå $\bar{e}$ l K $\bar{\iota}$  you do\pfv-2M.SG in=the=secret and=I 1SG-do\IPFV OBJ the=thing the=this before all.of Israel 'Though you have acted in secret, I will do this before all of Israel.' (2 Sam. 12:12)

When used **standalone**,  $k\bar{t}$  has one of three functions.

Type 1: exclamatives presuppose their propositional content (Zanuttini & Portner 2003), so they refer to the Common Ground (Staps & Rooryck 2023); cf. (4b).

(21) 'im '-ɛmṣā' bi=sdōm hāmišš-īm ṣaddīq-im bə=tōk hā='īr outcry(F)-of Sodom and=Gomorrah kī be\_great\PFV-3F.SG and=sin(F)-theirs kī wə-nāśā'-tī lə=kâl ham=māqōm ba='ăbūr-ām be\_heavy\PFV-3F.SG very 'That the outcry of/concerning Sodom and Gomorrah is so great! And that their sin is so heavy!' (Gen. 18:20)

Type 2: oaths impose their content on the Common Ground; the Speaker makes a strong assertion:

(22) hay yhwh  $k\bar{\iota}$  ben  $m\bar{\delta}we\bar{t}$   $h\bar{\delta}=\bar{\iota}\bar{s}$   $h\bar{\delta}=\bar{\iota}\bar{s}$  o  $z\bar{o}$  t life.of Yahweh  $v\bar{\iota}$  son.of death the=man the=do\PTCP-M.SG this 'By the life of Yahweh, (that) the man who does this is a dead man!' (2 Sam. 12:5)

Type 3: conducive/rhetorical questions also carry an implicit assertion, which may be accommodated or imposed:

# 6 Biblical Hebrew $k\bar{\iota}$ : summary & conclusions

The function of  $k\bar{\iota}$  can be described as:

- 1. Referring to Common Ground
  - (a) As a complementizer introducing subject and object clauses
  - (b) When connecting two clauses: introducing adverbials (causal, adversative, conditional, temporal, resultative, concessive)
  - (c) When standalone: marking exclamatives, oaths, and conducive/rhetorical questions
- 2. Lexicalized causal meaning ('because', 'for', etc.)
- 3. Lexicalized adversative meaning ('but'), developed from the causal function

The function in context can easily be deduced based on syntactic and pragmatic clues.

#### 7 Discussion

- · Apparent high degree of polysemy of  $k\bar{\iota}$  can be reduced to **Common Ground** (incl. accommodation and imposition)
- These are general notions that we also need for Germanic and Romance complementizers (Staps & Rooryck 2023), but here we extended them to adverbial functions
- · Common Ground can be linked to a [+distal] feature because the Addressee is "far" from the Speaker

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